

Khintchine type inequalities and approximation properties

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Haagerup's free Khintchine inequalities

We first review Haagerup's theorem on the approximation property on free groups. All results in this first part are due to **Haagerup** (Invent. Math.; 1979).

- ▶ \mathbb{F} : a free group on r generators g_1, \dots, g_r .
- ▶ W_d : the subset of \mathbb{F} of words of length d .
- ▶ Then $\forall \{x_g\} \subset \mathbb{C}$

$$\left(\sum_{g \in W_d} |x_g|^2 \right)^{\frac{1}{2}} \leq \left\| \sum_{g \in W_d} x_g \lambda(g) \right\|_{C_\lambda^*(\mathbb{F})} \leq (d+1) \left(\sum_{g \in W_d} |x_g|^2 \right)^{\frac{1}{2}}.$$

Remark. Regarding $\{\lambda(g)\}_{g \in W_d}$ as a **lacunary** set, this inequality can be interpreted as a Khintchine type inequality in L_∞ . Such a phenomenon can never occur in the **commutative** case: there is no infinite lacunary set in an abelian group.

Projections onto homogeneous subspaces

Let $C_{\lambda}^*(\mathbb{F})_d \subset C_{\lambda}^*(\mathbb{F})$ be the subspace spanned by words of length d , i.e. $C_{\lambda}^*(\mathbb{F})_d$ is the closure of the family of homogeneous polynomials of degree d .

Let $\mathcal{P}_d : C_{\lambda}^*(\mathbb{F}) \rightarrow C_{\lambda}^*(\mathbb{F})_d$ be the natural projection:

$$\mathcal{P}_d : \sum_{g \in \mathbb{F}} x_g \lambda(g) \mapsto \sum_{g \in W_d} x_g \lambda(g).$$

Corollary. $\|\mathcal{P}_d\| \leq d + 1$.

Proof.

$$\begin{aligned} \left\| \sum_{g \in W_d} x_g \lambda(g) \right\| &\leq (d + 1) \left(\sum_{g \in W_d} |x_g|^2 \right)^{\frac{1}{2}} \\ &\leq (d + 1) \left(\sum_{g \in \mathbb{F}} |x_g|^2 \right)^{\frac{1}{2}} = (d + 1) \left\| \sum_{g \in W_d} x_g \lambda(g) \right\|. \end{aligned}$$

Poisson semigroup

- ▶ Let $t > 0$. Then the function $g \mapsto e^{-t|g|}$ is a positive definite function on \mathbb{F} .
- ▶ Consequently, this function induces a completely positive Herz-Schur multiplier on $C_\lambda^*(\mathbb{F})$:

$$\mathcal{I}_t : \sum_{g \in \mathbb{F}} x_g \lambda(g) \mapsto \sum_{g \in \mathbb{F}} e^{-t|g|} x_g \lambda(g).$$

- ▶ In particular, $\|\mathcal{I}_t\| \leq 1$. Also note that

$$\mathcal{I}_t = \sum_{k=0}^{\infty} e^{-tk} \mathcal{P}_k.$$

Truncation

Let

$$\mathcal{T}_{t,n} = \sum_{k=0}^n e^{-tk} \mathcal{P}_k \quad \text{and} \quad \mathcal{T}_n = \mathcal{T}_{\frac{1}{\sqrt{n}}, n}.$$

- ▶ \mathcal{T}_n 's are finite rank maps (if \mathbb{F} is finitely generated).
- ▶ $\lim_n \mathcal{T}_n = \text{Id}_{C_\lambda^*(\mathbb{F})}$ in point-norm topology:

$$\lim_{n \rightarrow \infty} \mathcal{T}_n(x) = x, \quad \forall x \in C_\lambda^*(\mathbb{F}).$$

- ▶ $\lim_{n \rightarrow \infty} \|\mathcal{T}_n\| = 1$.
- ▶ **Conclusion:** $C_\lambda^*(\mathbb{F})$ has the **metric approximation property (MAP)**.

Proof. We have

$$\|\mathcal{T}_{t,n}\| \leq \|\mathcal{T}_t\| + \sum_{k>n} e^{-kt} \|\mathcal{P}_k\| \leq 1 + \sum_{k>n} (k+1)e^{-kt} \leq 1 + \frac{(n+1)e^{-nt}}{(1-e^{-t})^2}.$$

Fourier algebra and von Neumann algebra

Let $VN(\mathbb{F})$ and $A(\mathbb{F})$ be the group vN algebra and the Fourier algebra of \mathbb{F} , respectively. Note that $A(\mathbb{F})^* = VN(\mathbb{F})$. Then

- ▶ \mathcal{T}_n 's extend to bounded maps on $VN(\mathbb{F})$ and $A(\mathbb{F})$.
- ▶ $\lim_n \mathcal{T}_n = \text{Id}_{A(\mathbb{F})}$ in point-norm topology; $\lim_n \mathcal{T}_n = \text{Id}_{VN(\mathbb{F})}$ in point- w^* topology, i.e.

$$\lim_n \mathcal{T}_n(x) = x \quad * \text{-weakly}, \quad \forall x \in VN(\mathbb{F}).$$

- ▶ We still have $\lim_{n \rightarrow \infty} \|\mathcal{T}_n\| = 1$ for these extensions.
- ▶ **Conclusion:** $A(\mathbb{F})$ and has MAP and $VN(\mathbb{F})$ has w^* -MAP.

Completely bounded approximation property (CBAP)

All previous results can be generalized to the vector-valued setting, i.e. to the category of operator spaces.

Recall: An operator space E has the **CBAP** if there is a net (T_i) of finite rank maps on E such that

$$\sup_i \|T_i\|_{cb} < \infty \quad \text{and} \quad \lim_i T_i(x) = x, \quad \forall x \in E.$$

If in addition, $\|T_i\|_{cb} \leq 1$ for all i , E has the **completely contractive approximation property (CCAP)**.

- ▶ Since \mathcal{T}_t is c.p., \mathcal{T}_t is c.b. and $\|\mathcal{T}_t\|_{cb} = \|\mathcal{T}_t\| = 1$.
- ▶ We also have $\|\mathcal{P}_d\|_{cb} \leq d + 1$. This can be proved in different ways. One of them is via the vector-valued version of the previous Khintchine inequality (due to **Buchholz**).
- ▶ So as before, $\lim_{n \rightarrow \infty} \|\mathcal{T}_n\|_{cb} = 1$.
- ▶ **Conclusion:** $A(\mathbb{F})$ and $C_\lambda^*(\mathbb{F})$ have CCAP; $VN(\mathbb{F})$ has w^* -CCAP.

In other words, \mathbb{F} is **weakly amenable** with constant 1.

Recall: a discrete group G is weakly amenable if there is a net of functions $f_i : G \rightarrow \mathbb{C}$ with finite support such that f_i converges pointwise to the constant function 1 and such that their associated Herz-Schur multipliers satisfying $\limsup_i \|M_{f_i}\|_{cb} < \infty$. The **Haagerup constant** $\Lambda(G)$ of G is then defined to be the infimum over all such \limsup .

It is well-known that the following properties are equivalent:

- ▶ G is weakly amenable with constant C .
- ▶ $C_\lambda^*(G)$ has the CBAP with constant C .
- ▶ $VN(G)$ has the w^* -CBAP with constant C .

Summary

To prove approximation properties we need:

- ▶ Poisson type semigroup $(\mathcal{T}_t)_{t \geq 0}$ of (complete) contractive or uniformly bounded maps, which is continuous with $\mathcal{T}_0 = \text{Id}$;
- ▶ (completely) bounded truncations to homogeneous parts;
- ▶ Khintchine type inequalities for homogeneous parts that can be used to deal with the truncations above.

This happens in many other situations. For instance:

- ▶ Coxeter groups ([Fendler; 2002](#)).
- ▶ Free von Neumann algebras.
- ▶ The second part of this talk is to show that this procedure can be applied to reduced free products of C^* -algebras or vN algebras. This part is based on a joint work with [Ricard](#) ([Crelle; 2006](#)).

Reduced free product

- ▶ $(A_i, \phi_i)_{i \in I}$ is a family of unital C^* -algebras with states ϕ_i whose GNS constructions (π_i, H_i, ξ_i) are faithful.
- ▶ $\mathring{H}_i = \xi_i^\perp$ and $\mathring{A}_i = \{a \in A : \phi_i(a) = 0\}$.
- ▶ Fock space $\mathcal{F} = \mathbb{C} \cdot \Omega \oplus \bigoplus_{\substack{n \geq 1 \\ i_1 \neq i_2 \neq \dots \neq i_n}} \mathring{H}_{i_1} \otimes \dots \otimes \mathring{H}_{i_n}$.
- ▶ $\mathcal{A} = *_{i \in I} (A_i, \phi_i)$ is the closure in $\mathbb{B}(\mathcal{F})$ of the algebraic free product $A = \mathbb{C}1 \oplus \bigoplus_{d \geq 1} \bigoplus_{i_1 \neq i_2 \neq \dots \neq i_d} \mathring{A}_{i_1} \otimes \dots \otimes \mathring{A}_{i_d}$.
- ▶ The states ϕ_i determine a state ϕ on \mathcal{A} given by:

$$\phi(1) = 1 \quad \text{and} \quad \phi(a_1 \otimes \dots \otimes a_d) = 0$$

for $d \geq 1$, and $a_1 \otimes \dots \otimes a_d \in \mathring{A}_{i_1} \otimes \dots \otimes \mathring{A}_{i_d}$ with $i_1 \neq i_2 \neq \dots \neq i_d$.

- ▶ \mathcal{A}_d is the homogeneous part of degree d , the closure of

$$\bigoplus_{i_1 \neq i_2 \neq \dots \neq i_d} \mathring{A}_{i_1} \otimes \dots \otimes \mathring{A}_{i_d}.$$

Normal order decomposition of homogeneous polynomials

For each $k \in I$, let

$$\mathcal{F}_k = \bigoplus_{\substack{n \geq 1 \\ k=i_1 \neq i_2 \neq \dots \neq i_n}} \mathring{H}_{i_1} \otimes \dots \otimes \mathring{H}_{i_n}$$

Let P_k be the projection from \mathcal{F} onto \mathcal{F}_k . Then for $a \in \mathring{A}_k$

- ▶ $P_k^\perp a P_k^\perp = 0$.
- ▶ $P_k a P_k^\perp$ acts as a creation operator w.r.t. the natural gradation of \mathcal{F} .
- ▶ $P_k^\perp a P_k$ acts as an annihilation operator.
- ▶ $P_k a P_k$ is diagonal.

Using these properties we have the following decomposition that is important for us: For $a_1 \otimes \cdots \otimes a_d \in \mathring{A}_{i_1} \otimes \cdots \otimes \mathring{A}_{i_d}$, with $i_1 \neq i_2 \neq \cdots \neq i_d$

$$\begin{aligned}
 & a_1 a_2 \dots a_d \\
 &= \prod_{k=1}^d (P_{i_k} + P_{i_k}^\perp) a_k (P_{i_k} + P_{i_k}^\perp) \\
 &= \sum_{k=0}^d P_{i_1} a_1 P_{i_1}^\perp \cdots P_{i_k} a_k P_{i_k}^\perp \cdot \\
 &\quad P_{i_{k+1}}^\perp a_{k+1} P_{i_{k+1}} \cdots P_{i_d}^\perp a_d P_{i_d} \\
 &+ \sum_{k=0}^{d-1} P_{i_1} a_1 P_{i_1}^\perp \cdots P_{i_k} a_k P_{i_k}^\perp P_{i_{k+1}} a_{k+1} P_{i_{k+1}} \cdot \\
 &\quad P_{i_{k+2}}^\perp a_{k+2} P_{i_{k+2}} \cdots P_{i_d}^\perp a_d P_{i_d}.
 \end{aligned}$$

A different operator space structure on \mathcal{A}_d

- ▶ $L_1 \subset \mathbb{B}(\mathcal{F})$, the operator subspace spanned by $(P_k \dot{A}_k P_k^\perp)_{k \in I}$. Then

$$L_1 \approx \left(\bigoplus_{k \in I} \dot{H}_k \right)_C \quad (\text{column structure}) \text{ completely isometrically.}$$

More precisely, for $a_{k,i} \in \dot{A}_k$ and $m_{k,i} \in \mathbb{M}_m$:

$$\left\| \sum_{k,i} P_k a_{k,i} P_k^\perp \otimes m_{k,i} \right\| = \left\| \sum_k \sum_{i,j} \phi_k(a_{k,i}^* a_{k,j}) m_{k,i}^* m_{k,j} \right\|^{1/2}.$$

Moreover, the natural map $\theta_1 : \mathcal{A}_1 \rightarrow L_1$ defined by $\theta_1(a) = P_k a P_k^\perp$ if $a \in \dot{A}_k$ is a complete contraction.

- ▶ $K_1 \subset \mathbb{B}(\mathcal{F})$, the operator subspace spanned by $(P_k^\perp \dot{A}_k P_k)_{k \in I}$. Then

$$K_1 \approx \left(\bigoplus_{k \in I} \overline{\dot{H}_k} \right)_R \quad (\text{row structure})$$

and the map $\rho_1 : \mathcal{A}_1 \rightarrow K_1$ defined by $\rho_1(a) = P_k^\perp a P_k$ if $a \in \dot{A}_k$ is a complete contraction.

Algebraically, we can identify \mathcal{A}_d with a subspace of $\mathcal{A}_1^{\otimes d}$. Let X_d be the operator space

$$\bigoplus_{k=0}^d L_1^k \otimes_h K_1^{d-k} \bigoplus_{k=0}^{d-1} \bigoplus_{k=0}^k L_1^k \otimes_h \ell_\infty((A_i)) \otimes_h K_1^{d-k-1}$$

where $L_1^k = L_1^{\otimes_h k}$ and $K_1^k = K_1^{\otimes_h k}$.

Now we introduce a new operator space structure on $\mathcal{A}_1^{\otimes d}$ via the following inclusion

$\iota : \mathcal{A}_1^{\otimes d} \rightarrow X_d$ defined by

$$\iota(\mathbf{a}) = ((\theta_1^k \otimes \rho_1^{d-k}(\mathbf{a}))_{k=0}^d, (\theta_1^k \otimes \text{Id} \otimes \rho_1^{d-k-1}(\mathbf{a}))_{k=0}^{d-1}).$$

Khinchine inequalities for free chaos

Theorem. We have a complete isomorphism $E_d \approx \mathcal{A}_d$. More precisely, for any $n \geq 1$ and $x \in \mathbb{M}_n(\mathcal{A}_d)$, we have :

$$\|\iota(x)\|_{\mathbb{M}_n(E_d)} \leq \|x\|_{\mathbb{M}_n(\mathcal{A}_d)} \leq (2d + 1) \|\iota(x)\|_{\mathbb{M}_n(E_d)}.$$

Remark. In the case $d = 1$, the inequality above reads as: for any $a_i \in \mathring{A}_i$ and $x_i \in \mathbb{M}_n$

$$\left\| \sum_i a_i \otimes x_i \right\|_{\mathcal{A} \otimes \mathbb{M}_n} \approx \max \left\{ \max_i \|a_i\|_{A_i} \|x_i\|_{\mathbb{M}_n}, \right. \\ \left. \left\| \sum_i \phi_i(a_i^* a_i) x_i^* x_i \right\|_{\mathbb{M}_n}^{1/2}, \left\| \sum_i \phi_i(a_i a_i^*) x_i x_i^* \right\|_{\mathbb{M}_n}^{1/2} \right\}.$$

This is due to **Voiculescu** in the scalar case and to **Junge** in the vector-valued case.

Truncated Poisson maps

Theorem. The natural projection \mathcal{P}_d from \mathcal{A} onto \mathcal{A}_d is c.b. and $\|\mathcal{P}_d\|_{cb} \leq \max(4d, 1)$.

Poisson semigroup. For any $t > 0$ let

$$\mathcal{I}_t = \sum_{k=0}^{\infty} e^{-tk} \mathcal{P}_k.$$

Using **Blanchard-Dykema's** theorem on free product of c.p. maps, one can show that \mathcal{I}_t is a unital c.p. map on \mathcal{A} . Thus $\|\mathcal{I}_t\|_{cb} = 1$.

Corollary. Let

$$\mathcal{I}_{t,n} = \sum_{k=0}^n e^{-tk} \mathcal{P}_k \quad \text{and} \quad \mathcal{I}_n = \mathcal{I}_{\frac{1}{\sqrt{n}}, n}.$$

Then

$$\lim_{n \rightarrow \infty} \|\mathcal{I}_n\|_{cb} = 1 \quad \text{and} \quad \lim_{n \rightarrow \infty} \mathcal{I}_n = \text{Id}_{\mathcal{A}}.$$

Applications to CBAP

- ▶ If $\dim A_i < \infty$ for every i , then their reduced free product \mathcal{A} has the CCAP.
- ▶ Let (M_i, ϕ_i) be injective von Neumann algebras with normal states ϕ_i with faithful GNS constructions. Then the reduced von Neumann free product $\bar{*}_i(M_i, \phi_i)$ has the w^* -CCAP. The proof uses again the truncated Poisson maps \mathcal{T}_n , Blachard-Dykema's theorem via the following:

Proposition. Let M be an injective von Neumann algebra and ϕ a normal state on M . Then there are normal finite rank unital c.p. maps preserving ϕ and converging to the identity in the point w^* -topology.

- ▶ Let $(G_i)_{i \in I}$ be weakly amenable discrete groups with constant 1. Then the free product $\bigast_{i \in I} G_i$ is also weakly amenable with constant 1.

This result improves a theorem of Bożejko-Picardello, who proved the same conclusion with the stronger assumption that all G_i are **amenable**.

Open problems

There are many open problems related to this talk.

- 1) The most general problem is the following: If all A_i have CCAP, so does \mathcal{A} ?

The same problem remains open for vN algebras.

- 2) We know that reduced von Neumann free products of injective von Neumann algebras have the w^* -CCAP. The C^* -counterpart of this result is open.

Namely, if the A_i are nuclear, does \mathcal{A} have CCAP?

- 3) The previous problem can be reduced to the following: Let A be a nuclear C^* -algebra with a state ϕ . Does there exist finite rank unital c.p. maps on A preserving ϕ and converging to Id_A in the point-norm topology?

- 4) Let $VN_q(H)$ be the vN algebra of Bożejko-Speicher's q -deformation associated to a real Hilbert space H . Does $VN_q(H)$ have w^* -CBAP for $-1 < q < 1$, $q \neq 0$?
N.B. $VN_q(H)$ does have w^* -MAP.

- 5) (Shlyakhtenko) Let H be a real Hilbert space and $U = (U_t)_{t \in \mathbb{R}}$ an orthogonal group on H . Let $VN(H, U)$ be Shlyakhtenko's free quasi-free factor associated to (H, U) . Does $VN(H, U)$ have w^* -CBAP?

N.B.

- ▶ If U is almost period (i.e. H has an orthonormal basis of eigenvectors of the generator of U), then $VN(H, U)$ is a free product of matrix algebras; so $VN(H, U)$ has CCAP.
- ▶ A khintchine type inequality is available for $VN(H, U)$ (Nou).

- 6) Let A_i be C^* -algebras with QWEP property. Does their reduced free product \mathcal{A} has the same property?

N.B. OK for the case of vN algebras (Junge; 2006).

Final remark. Exactness is stable under reduced free product of C^* -algebras (Dykema; 2004)